

Compliance

In an economic and regulatory environment that undergoes constant change, **Compliance** is a vital function for the Bank. Its main purpose is to protect Crédit Agricole CIB and its most important assets: its clients and its image. It covers regulatory and reputational risks and encourages business line development within a responsible and clearly controlled framework.

integrity
advice
regulations
respect
ethics
control
reputation
conduct
transparency
knowledge of clients
protection of interests
expertise

 22 countries
190 staff
 including 55% in our international network

Business lines



Prevents and controls white collar crime risks:

- money laundering and tax evasion;
- financing of terrorism;
- external corruption.

Ensures the Bank respects embargoes and freezing of assets.

Monitors and assesses the suitability of the CACIB compliance mechanism.

Advises and assists business lines in completing their assignments.

Prevents and controls conflicts of interest.

Supervises and coordinates the compliance control mechanism, reports and cross-disciplinary topics (training, budget, etc.).

Gives recommendations on the financing activities, issues pertaining to Corporate Social Responsibility (CSR), sector-specific policies and country strategies.

Steers fraud prevention, both internal and external, and combating internal corruption.

Manages non-compliance risks pertaining to data processing (including the protection of personal data).

Works with the Bank in taking into account major regulatory and strategic projects.

Some of the positions we offer

FINANCIAL SAFETY OFFICER M/F

Your role is to issue financial safety recommendations to enable CACIB to stay compliant with applicable regulations.

Your tasks:

- to issue financial safety recommendations on high-risk clients and alerts on sanctions;
- to issue recommendations on operations (complex operations, international sanctions, account monitoring, etc.);
- to issue recommendations to be presented to the executive committee (CSP, NAP, portfolio analyses, etc.);
- to advise Local Compliance Officers on financial safety issues;
- to spread the compliance culture (change management, training, workshops, etc.).

COMPLIANCE OFFICER - BUSINESS LINE M/F

Within a team dedicated to a business line, you help create the right environment that enables CACIB to comply with the regulations applicable to that specific business line.

Your tasks:

- to check and advise on the compliance of products and operations;
- to monitor operations and recommendations;
- to train CACIB employees on compliance;
- to monitor regulations applicable to the business lines;
- to respond to regulatory surveys.

CANDIDATE PROFILES

- Graduate Business School / University
- Specialisation in finance / audit / banking law
- Good knowledge of Corporate and Investment Banking business lines
- Project management
- Ability to analyse and summarise
- Proven writing skills
- Ability to work as part of a team
- Ethics & compliance
- Fluent English

The + points



Expertise: developing technical abilities and knowledge that are crucial to all the Bank's business lines



Cross-disciplinary: regular contact with all business lines in France and abroad



Transformation: at the heart of regulatory developments and the Bank's projects



Significant international aspect

