法商東方匯理銀行在臺分行
防制洗錢及打擊資恐內部控制制度聲明書

Crédit Agricole - Corporate and Investment Bank Taipei Branch
Statement of Internal AML/CFT Control

謹代表法商東方匯理銀行在臺分行(兼營代理買賣外國債券、承銷債券及證券化商品(限固定收益有價證券)等證券業務)聲明本銀行於 111 年 1 月 1 日至 111 年 12 月 31 日確實遵循防制洗錢及打擊資恐相關法令，建立內部控制制度，實施風險管理，並由超然獨立之稽核部門執行查核，定期陳報本行總行及區域總部。經審慎評估，本年度各單位防制洗錢及打擊資恐內部控制及法規遵循情形，除後附「防制洗錢及打擊資恐內部控制制度應加強事項及改善計畫」所列事項外，均能確實有效執行。

On behalf of Crédit Agricole – Corporate and Investment Bank Taipei branch (concurrently operates securities businesses, including foreign bond agency and underwriting of bonds and securitization products (limited to fixed income securities)), we hereby certify that from 1 January 2022 to 31 December 2022, the Bank has duly complied with relevant regulations governing anti-money laundering and countering the financing of terrorism in establishing the internal control system and implementing risk management procedures. The Bank has been audited by independent auditors who submit reports to headquarter and regional office. Following prudent evaluation, it is found that except for the items listed in the attached 'Enhancement Items and Improvement Plan for AML/CFT Internal Control System', the Bank's each department has effectively implemented internal controls for anti-money laundering and countering the financing of terrorism during the year.

謹 致

金融監督管理委員會

The Statement is submitted to the Financial Supervisory Commission

聲明人
Statement by

在臺訴訟及非訴訟代理人/執行長：　　　　白志堅　　　　　　　　（簽章）
Representative of litigious and non-litigious matters in Taiwan/CEO

台北分行稽核業務主管：　　　　　　　　林綜麗　　　　　　　（簽章）
Auditor in charge of auditing for Taipei Branch

台北分行防制洗錢及打擊資恐專責主管：郭怡伶　　　　　（簽章）
Chief AML/CFT Compliance Officer for Taipei Branch

中　　華　　民　　國　　112　　年　　3　　月　　24　　日

聲明人
Statement by

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防制洗錢及打擊資恐內部控制制度應加強事項及改善計畫
Enhancement Items and Improvement Plan for AML/CFT Internal Control System
（基準日：111 年 12 月 31 日）

應 加 強 事 項 (Finding Facts & Recommendations)	改 善 措 施 (Auditee's Responses)	預 定 完 成 改 善 時 間 (Target Date)
無	無	無